

Sent via Regular Mail and E-mail to rweigel@[REDACTED]

November 20, 2015

Russell C. Weigel, III, P.A.  
5775 Blue Lagoon Drive, Suite 100  
Miami, FL 33126

Re: TC-20140437148 / Termination of [REDACTED]

Dear Mr. Weigel:

This is to inform you that FINRA has completed its inquiry into the circumstances disclosed on [REDACTED]'s Form U5 by [REDACTED].

Based on our inquiry, we have determined to close our file pertaining to this matter. This determination is based on the facts known to us at this time. In this regard, new or additional facts could lead to a new inquiry.

It is our view that a determination by FINRA not to take action against a FINRA member or a member associated person has no evidentiary weight in any mediation, arbitration, or judicial proceeding. Further, it is inconsistent with just and equitable principles of trade for a FINRA member or a member's associated person to attempt to introduce such a determination into evidence in any of these forums.

If you have any questions, please contact the undersigned at [REDACTED].

Sincerely,

[REDACTED]

[REDACTED]  
Examination Manager

/cs

cc: [REDACTED]